

B210A (Form 210A) (12/09)

IN THE UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re Lehman Brothers Holdings Inc.

Case No. 08-13555

**PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the partial transfer, other than for security, of an undivided interest in the claim referenced in this evidence and notice.

Empyrean Investments, LLC  
Name of Transferee

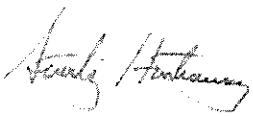
Citigroup Financial Products, Inc.  
Name of Transferor

Empyrean Investments, LLC  
Attn: Sterling Hathaway  
10250 Constellation Blvd.  
Suite 2950  
Los Angeles, CA 90067  
T: 310-843-3070  
F: 310-843-4915  
E: operations@empyrean.com

Court Claim # (if known): 17167  
Amount of Claim Transferred: \$316,243.67

Name and address where transferee payments  
should be sent (if different from above):

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

Date: August 21, 2018

**EXHIBIT A**

**EVIDENCE OF TRANSFER OF CLAIM**

For good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, **Citigroup Financial Products, Inc.**, with offices located at 390 Greenwich Street, 4<sup>th</sup> floor, New York, New York 10013, ATTN: Kenneth Keeley ("Assignor") does hereby unconditionally and irrevocably sell, transfer and assign unto Empyrean Investments, LLC, with offices located at 10250 Constellation Blvd., Suite 2950, Los Angeles, CA 90067, Attn: Sterling Hathaway ("Assignee") all rights, title and interest in and to the claims of Assignor referenced as proof of claim number 17167 up to the principal amount of \$316,243.67 plus all interest, fees and other amounts related thereto (the "Claim") against Lehman Brothers Special Financing Inc. (the "Debtor") whose Chapter 11 bankruptcy case is pending in the United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court") (or any other court with jurisdiction over the bankruptcy proceedings) as In Re: Lehman Brothers Holdings Inc., et al. Debtors, Case No. 08-13888 et seq. (Jointly Administered under Case No. 08-13555).

Assignor hereby waives any objection to the transfer of the Claim assigned herein (the "Assigned Claim") to Assignee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Assignor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Assignor transferring to Assignee the Assigned Claim and recognizing the Assignee as the sole owner and holder of the Assigned Claim. Assignor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the Assigned Claim, and all payments or distributions of money or property in respect of the Assigned Claim, shall be delivered or made to the Assignee.

IN WITNESS WHEREOF, the undersigned has duly executed this Assignment of Claim by its duly authorized representative as of the date set forth below.

ASSIGNEE:

EMPYREAN INVESTMENTS,  
LLC

ASSIGNOR:

CITIGROUP FINANCIAL  
PRODUCTS, INC.

By: Sterling Hathaway

Name: Sterling Hathaway

Title: Authorized Signatory

Date: August 21, 2018

By: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

IN WITNESS WHEREOF, the undersigned has duly executed this Assignment of Claim by its duly authorized representative as of the date set forth below.

ASSIGNEE:

**EMPYREAN INVESTMENTS,  
LLC**

By: \_\_\_\_\_

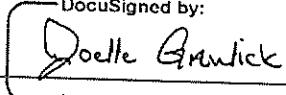
Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

ASSIGNOR:

**CITIGROUP FINANCIAL  
PRODUCTS, INC.**

DocuSigned by:  
By:   
240CDA98C9F64D3

Name: ~~Joelle Gavlick - Authorized Signatory~~

Title: \_\_\_\_\_

Date: August 21, 2018